

Methanol synthesis pathway over Cu/ZrO₂ catalysts: a time-resolved DRIFT ¹³C-labelling experiment

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To provide additional evidence on the synthesis path of methanol over Cu/ZrO₂ catalysts, a three-step *in situ* experiment was performed in a DRIFT cell. ¹³C-labelled as well as common ¹²C paraformaldehyde and formic acid were adsorbed and subsequently hydrogenated under reaction conditions (0.5 MPa and 423 K). It was found that methanol was generated exclusively from the η^2 -H₂CO intermediate, not from adsorbed surface formate. Furthermore, the intermediacy of CO₃²⁻ in the reverse/water–gas shift reaction was shown.

Keywords: methanol synthesis, Cu/ZrO₂, DRIFT, ¹³C-labelling experiments

1. Introduction

The synthesis of methanol from synthesis gas (CO, CO₂, H₂) has gained increasing importance in the last decade and is actually one of the most investigated catalytic reactions. Use of methanol in the chemical industries is increasing as a reactant for other organic chemicals such as formaldehyde, MTBE (methyl tertiary-butyl ether), acetic acid, MMA (methyl methacrylate) and DMT (dimethyl terephthalate). Methanol plays also an important role for the storage of H₂ as an energy carrier; advantages are that methanol is handled more easily and more conveniently than hydrogen gas. Owing to the fact that CO₂ is recognized as the major greenhouse gas, the use of this gas as an alternative feed-stock replacing CO in liquid fuel production has received much attention as one promising emission mitigation option.

The formation of methanol proceeds according to the two reaction equilibria:



The use of zirconia-supported copper catalysts is of special interest due to the mechanical and thermal stability, the high specific surface area and semiconducting properties of ZrO₂. Although these catalysts have been subject to numerous investigations, the nature of the active sites and of the intermediates continue to be discussed. An issue is the question whether the route to methanol proceeds via formate or other intermediates.

For ZrO₂-supported catalysts, He et al. [1,2] have proposed a low temperature mechanism in which formate was

hydrogenated to yield a dioxymethylene species, which could react further with hydrogen and desorb as methanol in the presence of water. Abe et al. [3] found for ZrO₂ supports experimental evidence for a mechanism proceeding via surface formate and methoxy species. For Cu/ZrO₂, Amenomiya [4] concluded that CO₂ reacted directly to CH₃OH, and that the reverse water–gas shift reaction was taking place in parallel. For the same system, Sun et al. [5] postulated a formate-to-methoxy mechanism when starting from CO as the reactant. Schild et al. [6,7], Köppel et al. [8], Wokaun et al. [9], and Weigel et al. [10] found for Cu/ZrO₂-based catalysts that π -bonded formaldehyde, which is formed in the catalytic reaction of CO and H₂, is the key intermediate, and that subsequent reduction yields surface-bound methylate and methanol. The same authors had observed that in a first step surface formates were rapidly formed, in a second step η^2 -H₂CO and adsorbed H₃CO⁻ were generated simultaneously, and in the last step gas phase H₃COH was detected. More recently, Fisher et al. [11,12] found evidence of a formate → methylenbisoxo → methoxy mechanism for Cu/ZrO₂/SiO₂ catalysts.

In this work two intermediates, i.e., ¹³C-labelled as well as common formic acid and paraformaldehyde were adsorbed and reduced to investigate the reaction path of methanol synthesis over the Cu/ZrO₂ catalyst. Infrared spectra of loaded catalysts were recorded in a DRIFT cell as a function of time on stream.

2. Experimental and procedures

2.1. Catalyst

The Cu/ZrO₂ catalyst used in this study was prepared by coprecipitation of the corresponding metal nitrates at

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constant pH and temperature as described elsewhere [13]. It had a composition of Cu : Zr = 46 : 54 at%, and a grain size of 50–150 μm .

2.2. Infrared spectroscopy

Spectra were recorded with a Bruker IFS 55/S EQUINOX Fourier transform infrared spectrometer equipped with a liquid N₂ cooled MCT detector. The instrument is equipped with a diffuse reflectance unit and a controlled environmental chamber fitted with NaCl windows (both made by Spectra-Tech). The temperature in the reaction chamber can be regulated with an accuracy of ± 1 K with a home-made temperature control unit using LabView (National Instruments) equipment. The spectra are recorded with a resolution of 4 cm^{-1} , with an accumulation of 100–500 interferograms for the time-dependent spectra and 1000 interferograms for background spectra, depending on the respective signal strengths.

2.3. Representation of spectra

DRIFT spectra are usually presented in Kubelka–Munk units. In this work we prefer to present the spectra as relative reflectance units (I/I_0). This is taking account of the fact that the catalyst of greyish-black color absorbs strongly throughout the mid IR region, and that the reflectance of the loaded catalyst during the reaction is higher than the one of freshly reduced catalyst used as a reference. These properties violate one of the basic assumptions of the Kubelka–Munk theory, i.e., the presence of a non- or weakly absorbing substrate [14,15]. Therefore, the use of relative reflectance units will be more appropriate.

Gaseous products were removed by a continuous stream of the reactant mixture passing over the sample; this results in a reduction of the overlap of bands between surface intermediates and gaseous substances.

2.4. Frequencies of labelled substances

The peak position of labelled substances was calculated assuming that isotopically substituted molecules just differ in the respective atomic masses, but have the same bond strengths. Consequently we can write the frequency as

$$\tilde{\nu} = \frac{1}{2\pi c} \sqrt{\frac{k}{\mu}}, \quad (3)$$

where k is the force constant and μ is the reduced mass:

$$\mu_{(\text{C}-\text{Y})} = \frac{m_{\text{C}}m_{\text{Y}}}{m_{\text{C}} + m_{\text{Y}}}. \quad (4)$$

Here m_{C} is the mass of the carbon atom and m_{Y} is the mass of the Y atom bonded to the carbon. From the proportionality (3) we have:

$$\frac{\tilde{\nu}_{(^{12}\text{C}-\text{Y})}}{\tilde{\nu}_{(^{13}\text{C}-\text{Y})}} = \frac{\sqrt{\frac{k}{\mu_{(^{12}\text{C}-\text{Y})}}}}{\sqrt{\frac{k}{\mu_{(^{13}\text{C}-\text{Y})}}}}. \quad (5)$$

Table 1

Species	Vibration	Literature (cm^{-1})	This work (cm^{-1})	Figure
$\eta^2\text{-H}_2^{12}\text{CO}$	$\nu(\text{C}=\text{O})$	1153	1155	4
$\eta^2\text{-H}_2^{13}\text{CO}$	$\nu(\text{C}=\text{O})$	1127	1130	2
$^{12}\text{CH}_3\text{OH}_{(\text{g})}$	$\nu(\text{C}-\text{O})$	1034	1032	4, 5
$^{13}\text{CH}_3\text{OH}_{(\text{g})}$	$\nu(\text{C}-\text{O})$	1011	1010	2, 3

Upon substitution of (4) into (5) and evaluation for the C–O and C–H bonds one finds:

$$\tilde{\nu}_{(^{13}\text{C}-\text{O})} = 0.9778 \tilde{\nu}_{(^{12}\text{C}-\text{O})}, \quad (6)$$

$$\tilde{\nu}_{(^{13}\text{C}-\text{H})} = 0.9970 \tilde{\nu}_{(^{12}\text{C}-\text{H})}. \quad (7)$$

The shift will be also larger for C–O bonds (>22 cm^{-1}) than for C–H bonds (<9 cm^{-1}). In table 1 some significant band frequencies are summarized [10–14].

2.5. Experimental setup

A gas dosing system equipped with four Brooks 5850E mass flow controllers was coupled to a home-made central control system designed to introduce evaporated liquid and sublimated solid substances into the reaction chamber (figure 1).

Nitrogen (99.999%), hydrogen (99.999%), carbon monoxide (99.998%) and carbon dioxide (99.998%), all from Sauerstoff Lenzburg A.G., were used without further purification. High purity formic acid and paraformaldehyde (both Fluka purum $\geq 98\%$) were used as reference substances; labelled formic acid and paraformaldehyde (both 99%, ^{13}C ; chemical purity $\geq 98\%$) are from Cambridge Isotope Laboratories.

2.6. Catalyst preparation

DRIFT spectra are greatly influenced by sample packing [16,17]. To ensure the reproducibility of experiments the catalyst was pressed into the sample cup of the environmental chamber for a constant time of 10 s under a constant pressure of 1 MPa with a home-made sample packing device [18].

The packed catalyst was reduced at 0.1 MPa by heating with a rate of 0.1667 K/s up to 523 K in a nitrogen/hydrogen stream (10 l/h, $\text{H}_2:\text{N}_2 = 3:1$), and subsequently cooled down to 423 K. Then the background spectrum was recorded.

2.7. Experimental procedures

(a) *Adsorption of ^{13}C -labelled paraformaldehyde and ^{12}C formic acid followed by reduction:* About 0.2 g of H_2^{13}CO was placed in the tube and heated to 403 K. The sublimated substance was flushed with 1 l/h N₂ at 0.5 MPa onto the catalyst kept at 423 K. After five minutes 0.1 ml of HCOOH were injected into the 1 l/h N₂ flow and also flushed on the catalyst. After five additional

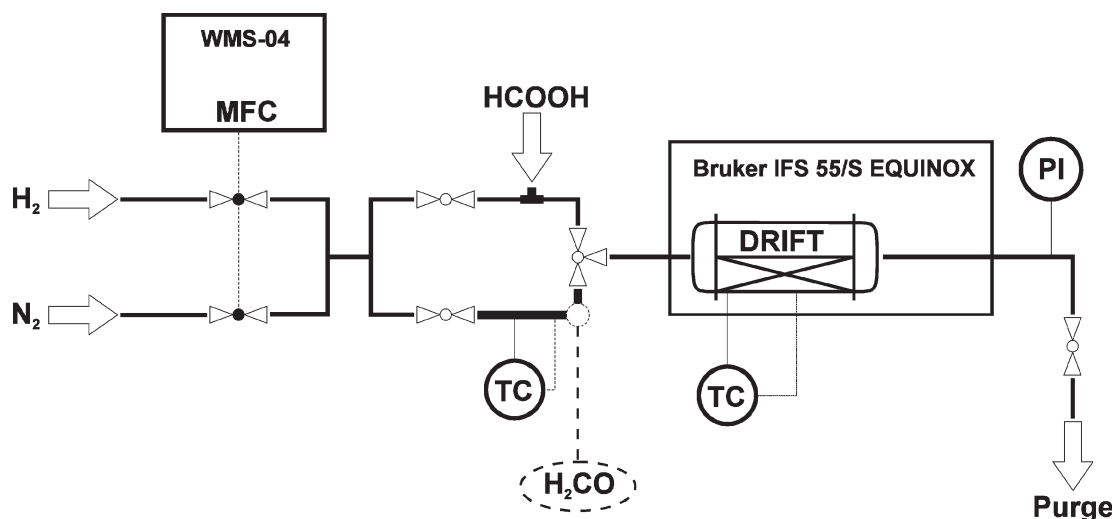


Figure 1. Experimental setup: gas dosing system (MFC: mass flow controllers), the saturation device (upper pathway: evaporator; lower pathway: sublimator) and the DRIFT cell.

minutes the flow was raised to 10 l/h with a composition of $H_2:N_2 = 3:1$.

(b) *Adsorption of ^{13}C -labelled formic acid and ^{12}C paraformaldehyde followed by reduction:* About 0.1 ml of $H^{13}COOH$ was injected into a 1 l/h N_2 flow at 0.5 MPa and flushed onto the catalyst kept at 423 K. After five minutes the N_2 flow was redirected through the heated tube (403 K) containing 0.2 g of H_2CO . Five additional minutes later the flow was raised to 10 l/h with a ratio of $H_2:N_2 = 3:1$ and also passed over the catalyst surface.

3. Results and discussion

Detailed assignments of all relevant vibrational bands can be found in the pertinent literature on copper oxides and zirconia-supported catalyst [1–7,9,10,18,19]. In the present communication, we shall focus on a few vibrational features that are informative with regard to the mechanism of methanol synthesis on the specific catalyst investigated.

3.1. Adsorption of $H_2^{13}CO$ and $H^{12}COOH$

After switching on the N_2 flow containing ^{13}C -labelled formaldehyde, the spectrum (trace a in figure 2) showed groups of peaks at 1715 cm^{-1} and around 1145 cm^{-1} . Both peaks decreased rapidly after switching to the formic acid containing N_2 -flow (trace d in figure 2). The first above-mentioned band was assigned to $\nu(^{13}C=O)$ of gas phase formaldehyde, with contributions from bidentate carbonates (shoulder at 1670 cm^{-1}). The second is the result of the contributions of $\nu(^{13}C-O)$ of gas phase paraformaldehyde (1185 cm^{-1}), adsorbed paraformaldehyde (ν_{as} , 1070 cm^{-1}), $\eta^2\text{-H}_2^{13}CO$ (1130 cm^{-1}) (thick arrow in figure 2) and bidentate carbonates (ν_{as} , 1150 cm^{-1} and ν_s , 1220 cm^{-1}). ^{13}CO , from the decomposition of $H_2^{13}CO$, showed the corresponding doublet at 2096 cm^{-1} ; on the other hand $^{13}CO_2$, due to hydrolysis/decomposition of carbonates, ap-

peared at 2282 cm^{-1} . Labelled gas phase methanol is immediately present, as evidenced by the $\nu(^{13}C-O)$ band at 1010 cm^{-1} (trace a in figure 2; thin arrow in figures 2 and 3); the R-branch contains a contributions from a methylate band ($\nu(^{13}C-O)$) placed at 1020 cm^{-1} . The sharp peak at 915 cm^{-1} has contributions from adsorbed paraformaldehyde ($\nu_s(^{13}C-O)$, 915 cm^{-1}) and bidentate carbonates ($\nu_s(^{13}C-O)$, 980 cm^{-1}). The band around 2940 cm^{-1} consists of contributions from several substances. Major peaks are the $\nu(^{13}CH_2)$ of adsorbed paraformaldehyde at 2915 cm^{-1} ; the $\nu_s(^{13}C-H)$ at 2836 cm^{-1} and the shoulder of $\nu_{as}(^{13}C-H)$ at 2970 cm^{-1} of gas phase methanol. Contained within the broad peak are the $\nu(^{13}C-H)$ of methylate (ν_{as} , 2920 cm^{-1} and ν_s , 2820 cm^{-1}), of $\eta^2\text{-H}_2CO$ (ν , 2810 cm^{-1}) and of adsorbed paraformaldehyde (ν_s , 2910 cm^{-1}). Gas phase water, identified by the typical gas phase multiplet signal around 1620 cm^{-1} , will be consumed.

Upon subsequent exposure to an $N_2/HCOOH$ flow (traces d, e and f in figure 2), the $^{12}CO_2$ -peak (2349 cm^{-1}), deriving from decomposition of $HCOOH$, is growing in intensity together with the ^{13}CO (2096 cm^{-1}), due to hydrogenation of carbonates (traces d and e in figure 2). The $^{13}CO_2$ -peak is also growing in intensity due to hydrolysis/decomposition of carbonates ($*CO_3^{2-} + H_2O \rightleftharpoons CO_2 + 2*OH^-$) (traces d and e in figure 2). The bands around 1700 cm^{-1} are no longer detectable. The peak around 1145 cm^{-1} is reduced in intensity, and other peaks are recognizable; i.e., the bidentate carbonates at 1220 cm^{-1} and peaks with contributions from $\eta^2\text{-H}_2CO$ and adsorbed paraformaldehyde. Before purging with the H_2/N_2 flow ^{13}CO and $^{13}CO_2$ are strongly decreased (trace f in figure 2).

3.2. Hydrogenation of surface species

Exposing the catalyst that had been loaded as described in the previous section to an H_2/N_2 flow, the peaks of methanol, adsorbed paraformaldehyde and $^{13}CO_2$ (1010 ,

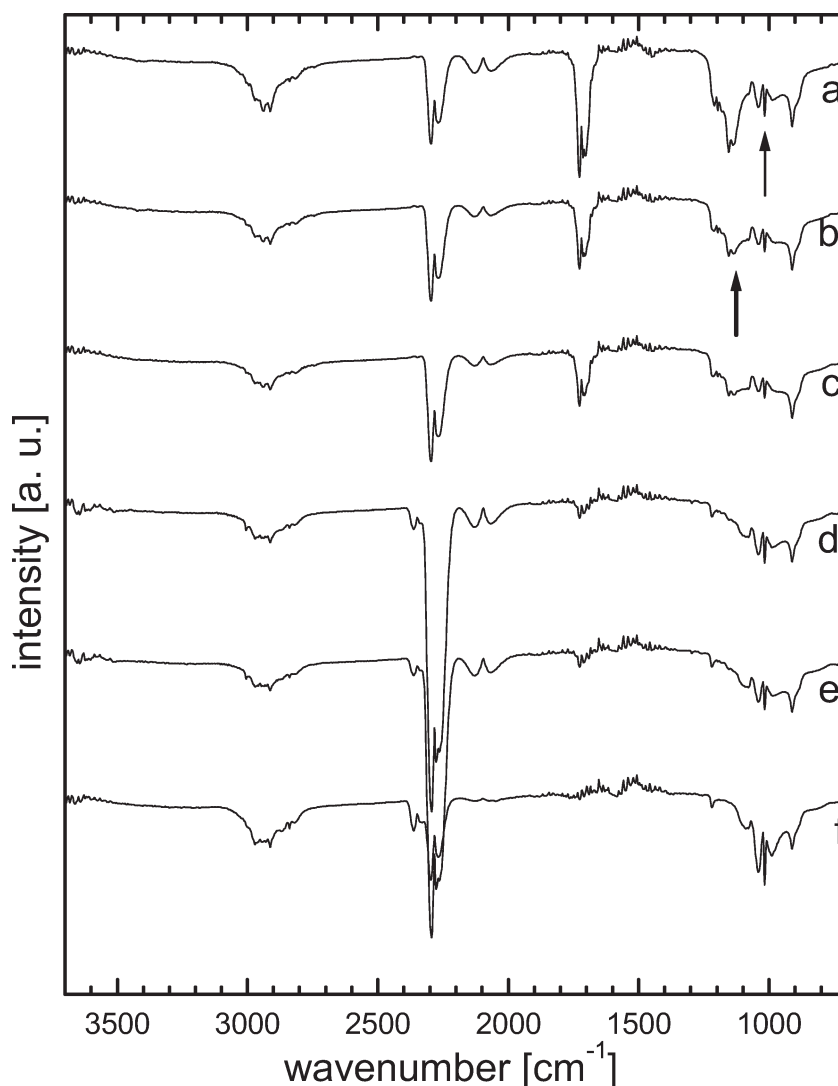


Figure 2. Adsorption of H₂¹³CO for 1, 3 and 5 min (a, b and c), followed by adsorption of H¹²COOH for 1, 3 and 5 min (d, e and f). The thin and bold arrows designate marker bands of gas phase methanol and adsorbed formaldehyde, as discussed in the text.

2840, 2970 cm⁻¹, 915, 1070, 2940 cm⁻¹ and 2282 cm⁻¹) decrease (traces a, b and c in figure 3). After some minutes gas phase HCOOH peaks grow at 1770 cm⁻¹ ($\nu(^{12}\text{C}=\text{O})$) and at 1120 cm⁻¹ ($\nu(^{12}\text{C}-\text{O})$) together with the bidentate carbonate peaks at 1650 cm⁻¹ ($\nu(^{12}\text{C}=\text{O})$). The peak at 1180 cm⁻¹ has contributions from bidentate carbonates ($\nu(^{13}\text{C}-\text{O})$ at 1220 cm⁻¹ and $\nu(^{13}\text{C}-\text{O})$ at 1070 cm⁻¹) (traces d, e and f in figure 3). Continued exposure to the H₂/N₂ flow causes a loss in intensity of the methanol bands. The CO₂ doublet becomes stronger due to increased formic acid decomposition. Gas phase water is also present, as identified from the typical multiplet of bands around 1620 cm⁻¹.

3.3. Adsorption of H¹³COOH and H₂¹²CO

Spectra of a catalyst exposed to a flow of ¹³C-labelled formic acid in N₂ (traces a and b in figure 4) showed the gas phase formic acid bands at 1730 cm⁻¹ ($\nu(^{13}\text{C}=\text{O})$), at 1095 cm⁻¹ ($\nu(^{13}\text{C}-\text{O})$) and a less intensive band

around 2920 cm⁻¹ ($\nu(^{13}\text{C}-\text{H})$). The broad band around 1180 cm⁻¹ is caused by a contribution of bidentate carbonates ($\nu(^{12}\text{C}-\text{O})$ and $\nu(^{13}\text{C}-\text{O})$); also the two weak peaks at 1415 cm⁻¹ and at 1385 cm⁻¹ can be assigned to $\nu_{\text{as}}(\text{O}-\text{C}-\text{O})$ of ¹³C- and ¹²C-monodentate carbonates (traces c and d in figure 4). The shoulder at 1525 cm⁻¹ that became a sharp peak after a few minutes (traces b and c in figure 4) and the less intensive peak at 1335 cm⁻¹ will be assigned to $\nu_{\text{as}}(^{13}\text{C}-\text{O})$ and to $\nu_{\text{s}}(^{13}\text{C}-\text{O})$, respectively, which together with the $\nu(^{13}\text{C}-\text{H})$ at 2860 cm⁻¹ can be assigned to ¹³C-formate (traces a, c and f in figure 4). In the same way the peak at 1570 cm⁻¹ (trace a in figure 4) that became a shoulder in later spectra is a contribution of $\nu_{\text{as}}(^{12}\text{C}-\text{O})$ of formates. ¹²CO₂ is probably due to the presence of residual air in the injection system.

After switching to the N₂/¹²C-paraformaldehyde gas stream gas phase unlabelled methanol is immediately present (traces e and f in figure 4) as identified by the $\nu(\text{C}-\text{O})$ signal at 1032 cm⁻¹ (thin arrow in figures 4 and 5). Gas phase water is now present. Carbonate peaks at

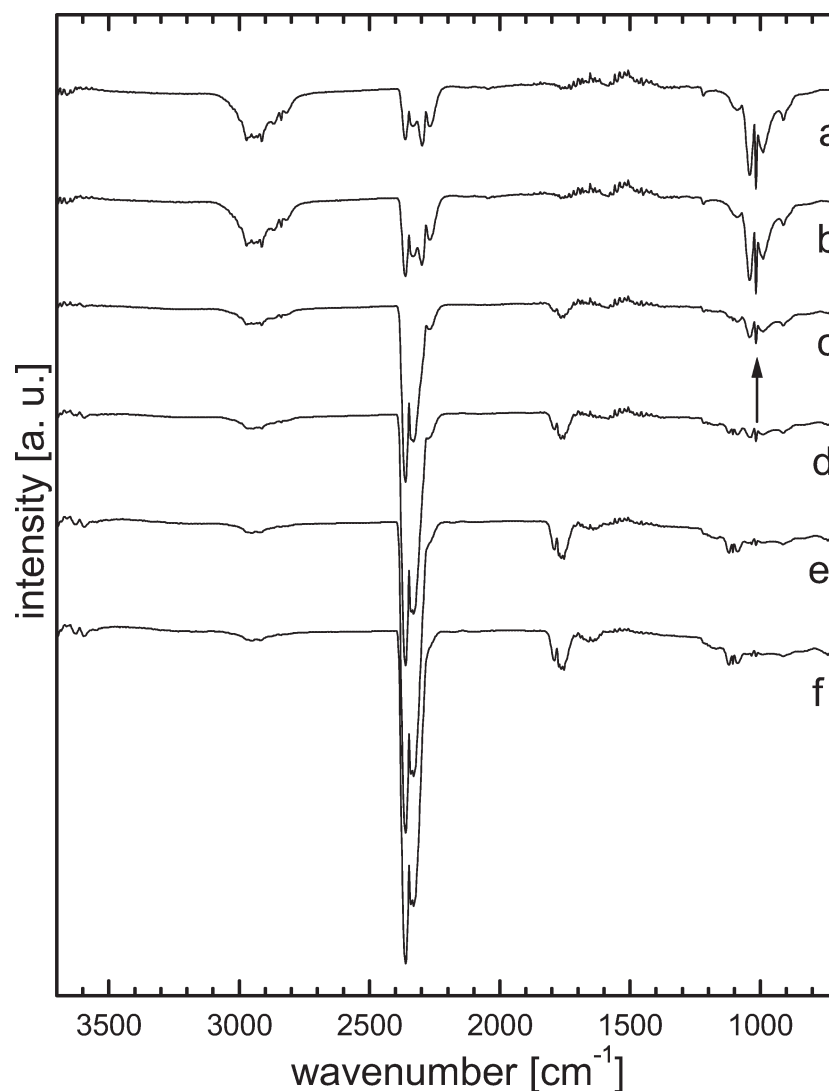


Figure 3. Hydrogenation of species on catalyst surface onto which H₂¹³CO and H¹²COOH had been previously adsorbed. After switching to N₂/H₂, the course of hydrogenation was monitored for 1, 5, 10, 15, 25 and 45 min (a, b, c, d, e and f). The arrow designates the marker band of gas phase methanol.

1415 cm⁻¹ and 1385 cm⁻¹ are decreasing, due to hydrolysis/decomposition, and simultaneously ¹³CO₂ and ¹²CO₂ peaks are growing (traces e and f in figure 4). The peak at 1735 cm⁻¹ shifts to around 1750 cm⁻¹ due to contribution of gas phase formaldehyde ($\nu(^{12}\text{C}=\text{O})$ at 1755 cm⁻¹). Gas phase paraformaldehyde is also present, as visible with the shoulder at 1210 cm⁻¹ ($\nu(^{12}\text{C}-\text{O})$). $\eta^2\text{-H}_2^{12}\text{CO}$ (1155 cm⁻¹) (thick arrow in figure 4) is formed and finally becomes the predominant peak around 1150 cm⁻¹. The weak peak at 1650 cm⁻¹ will be assigned to the $\nu(\text{C}=\text{O})$ of bidentate carbonates. The peak around 2950 cm⁻¹ contains contributions from $\nu(\text{C}-\text{H})$ of paraformaldehyde (2915 cm⁻¹) and methanol (2975 cm⁻¹), the peak at 2820 cm⁻¹ will be assigned to adsorbed formaldehyde.

3.4. Hydrogenation of surface species

Exposing the surface of the catalyst that had been loaded as described in section 3.3 to an H₂/N₂ flow effects a rise

of the gas phase ¹²C-methanol peak at 1032 cm⁻¹, and a little the rise of the ¹²CO peak at 2144 cm⁻¹, due to hydrogenation of carbonates and to H₂CO decomposition (traces b, c and d in figure 5). After 10 min a little decrease of the 1525 cm⁻¹ peak indicated that ¹³C-formates are reduced (traces a and d in figure 4). A small amount of monodentate carbonates ($\nu_{\text{as}}(\text{O}-\text{C}-\text{O})$ at 1415 cm⁻¹) is formed on the surface. Gas phase water is always present.

4. Conclusions

In the first experiment described, adsorption of ¹³C-labelled paraformaldehyde led to the formation of adsorbed paraformaldehyde and surface-bound formaldehyde ($\eta^2\text{-H}_2\text{CO}$), which was consumed after switching on the H₂/N₂ flow. Carbon monoxide (¹³CO), which is generated by H₂CO decomposition, led to surface carbonates. *In the gas phase ¹³C-labelled methanol was clearly detected with its*

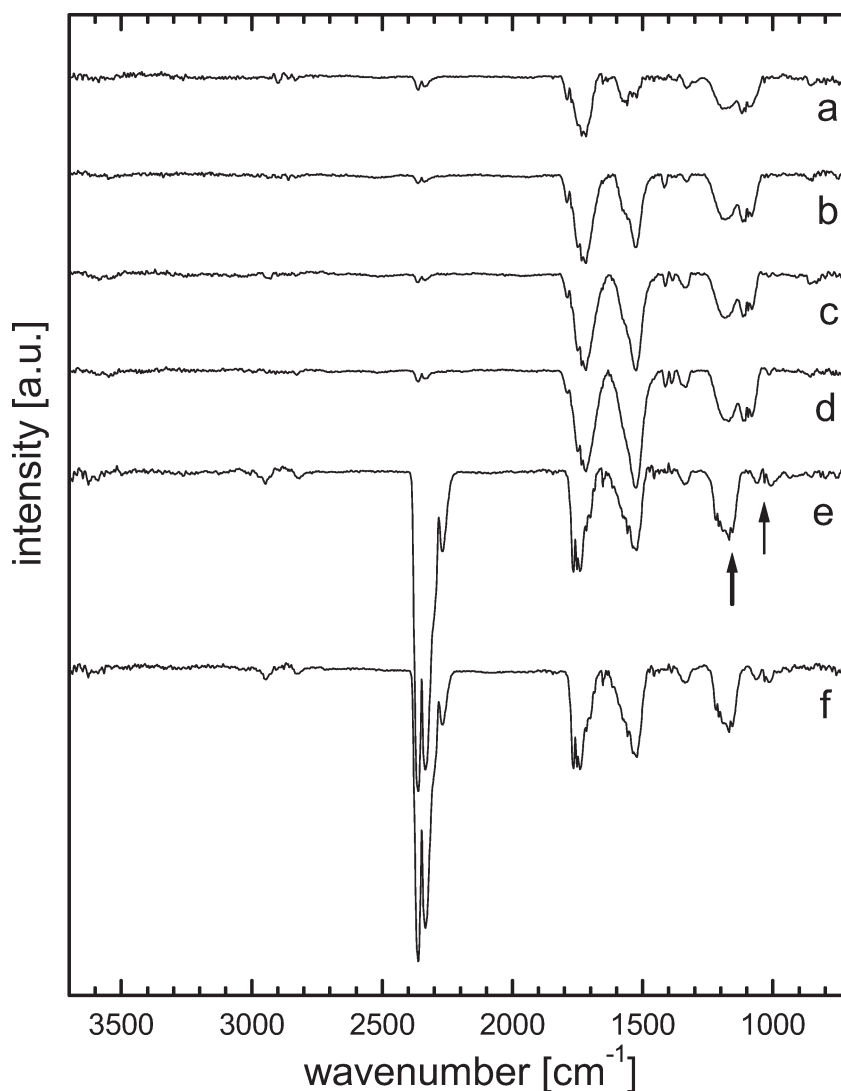


Figure 4. Adsorption of H¹³COOH for 1, 3 and 5 min (a, b and c), followed by adsorption of H₂¹²CO for 1, 3 and 5 min (d, e and f). The thin and bold arrows designate marker bands of gas phase methanol and adsorbed formaldehyde, as discussed in the text.

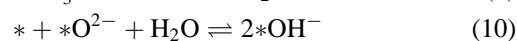
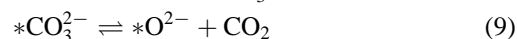
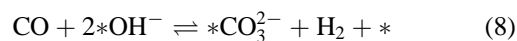
$\nu(^{13}\text{C}-\text{O})$ signal at 1010 cm^{-1} . Subsequent adsorption of ¹²C-formic acid led to decomposition of HCOOH into H₂ and CO₂ and consequently hydrogenation of parts of carbonates into ¹³CO. Hydrogenation of the surface led to a reduction of all present paraformaldehyde species. Part of the carbonates present were hydrolyzed/decomposed to release ¹³CO₂; besides, additional non-reactive carbonates were formed. *Labelled methanol* was always present. No surface-bound ¹³CO, nor labelled surface formates were observed even after long exposure and hydrogenation time. No appreciable change in the concentration of surface hydroxyl groups was observed.

In the second experiment described, the adsorption of ¹³C-labelled formic acid generated surface carbonates and surface formates. No methanol or methylate signals were observed. Subsequently, *adsorption of H₂¹²CO rapidly led to a formation of gas phase unlabelled CH₃OH* ($\nu(^{12}\text{C}-\text{O})$ band at 1032 cm^{-1}), and to a hydrolysis/decomposition of carbonates (see the rising of the ¹³CO₂ band). No labelled

adsorbed paraformaldehyde was observed. Under reducing atmosphere, a rise of ¹²CO due to decomposition of H₂¹²CO together with a small decrease of formates and formation of carbonates were observed. No adsorbed CO was observed. No appreciable production or decrease of surface hydroxyl groups was observed.

4.1. Water–gas shift reaction

The observations suggest that CO and CO₂ can be inter-converted via surface carbonates, according to the equilibria:



where * designates an adsorption site. This scheme is supported by the following observations. In the first experiment (figure 2) the ¹³C source is the ¹³CO. ¹³CO₂ and ¹³C-

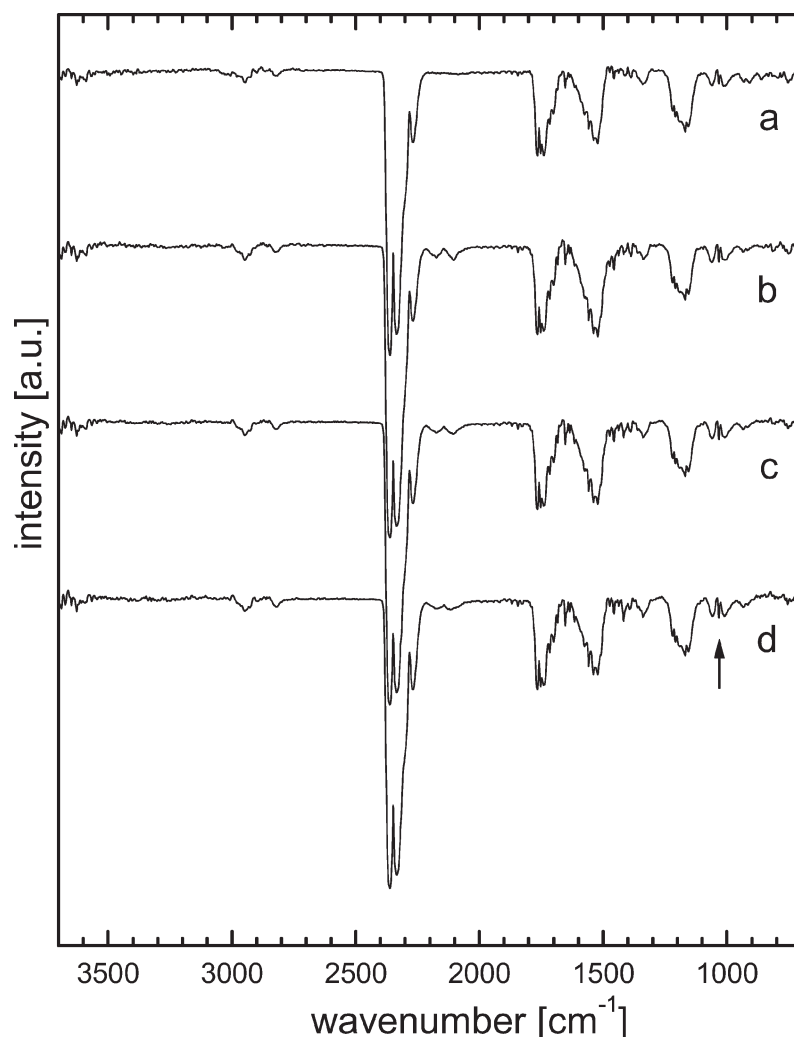
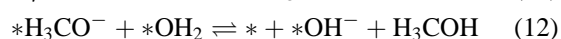
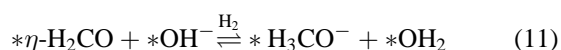


Figure 5. Hydrogenation of species on catalyst surface onto which H¹³COOH and H₂¹²CO had been previously adsorbed. After switching to N₂/H₂, the course of hydrogenation was monitored for 1, 3, 5 and 10 min (a, b, c and d).

carbonates must be generated from this source. In the presence of water (consumption of gas phase water) carbonates will be hydrolyzed/decomposed and ¹³CO₂ will be formed. During the hydrogenation step some ¹²CO₂ is present and a little gas phase water is consumed (traces e and f in figure 3), as the system is close to equilibrium. In the second experiment (figure 4) we find that ¹²C-carbonates are generated from ¹²CO₂. During the hydrogenation step (figure 5) we find that ¹²C-carbonates are reduced and some ¹²CO together with gas phase water is produced. This result is also in agreement with previous observations [10–13].

4.2. Methanol formation

Useful information is provided in addition on the methanol synthesis route. The observations suggest that surface-bound formaldehyde is the key intermediate according to the equilibria:



This is supported by the fact that it was not possible to find methylate or methanol without the presence of $\eta^2\text{-H}_2\text{CO}$ on the surface (figures 2, 4 and 5), and that even after long exposure to a hydrogen atmosphere no surface formate species were reduced to methanol (figures 3 and 5), i.e., no methanol of same isotope as formate was observed. Most importantly, the isotope of carbon atom of methanol and the one of adsorbed formaldehyde were always of the same type (figures 2, 4 and 5). It was never possible to observe CO bound to the surface. We conclude that the C-source of the methanol carbon was always the formaldehyde carbon respectively the $\eta^2\text{-H}_2\text{CO}$ carbon. This result confirms the mechanism proposed from previous observations [10–14,20].

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